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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: **Yoshimura, et al.**  
Serial No.: **09/295,431**  
Filed: **April 20, 1999**  
For: **MULTI-LAYER OPTO-ELECTRONIC  
SUBSTRATES WITH ELECTRICAL AND  
OPTICAL INTERCONNECTIONS AND  
METHODS FOR MAKING**  
Art Group Unit: **2733**  
Examiner: **Unassigned**  
Attorney Docket: **6136/53461**

**CERTIFICATE OF  
MAILING/TRANSMISSION  
(37 C.F.R. § 1.8A)**

I hereby certify that this correspondence is, on the date shown below, being:  
(X) deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.  
( ) transmitted by facsimile to the Patent and Trademark Office.

7/3/2001  
Date

Hal R. Yeager

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98**

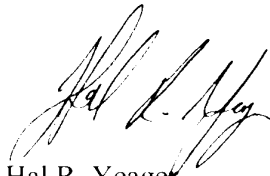
Commissioner for Patents  
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Sir:

The citation listed on the enclosed PTO-1449 Form is submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56. The Examiner is requested to make these citations officially of record in the application. This Supplemental Information Disclosure Statement is being submitted before receipt of the first Office Action for the above-identified application. Consequently, pursuant to 37 C.F.R. §1.97, no fee or certification is required. However, in the event that the first Office Action was mailed before the mailing of this Statement, the Commissioner is hereby authorized to charge the fee set forth in 37 C.F.R. §1.17(p) to deposit account No. 13-0201 to cover this fee.

This Information Disclosure Statement Under 37 C.F.R. §1.56 is not to be construed as a representation that any of the listed citations establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of any claim in the above-identified application. Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Hal R. Yeager', is written over a horizontal line.

Hal R. Yeager  
Registration No. 35,419

July 3, 2001  
COUDERT BROTHERS  
4 Embarcadero Center, Suite 3300  
San Francisco, CA 94111  
Telephone: (415) 986-1300  
Telefax: (415) 986-0320